



16085 U.S. PTO

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February 5, 2004

031356 U.S. PTO
10/772917
020504

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **63049.001012**

First Named Inventor: **Ronald D. BLUM**

Title: **METHOD AND APPARATUS FOR CORRECTING VISION USING AN
ELECTRO-ACTIVE PHOROPTER**

Customer No. **27682**

TO: **MAIL STOP PATENT APPLICATION**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☐ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor
☐ Small Business Concern
☐ Non-Profit Organization
☐ Statement Enclosed
☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ 19 pages of specification, 5 pages of claims (claims 1-38), 1 page of abstract and 10 pages of figures (figures 1-10b)

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CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	38	20	18	\$ 18.00	\$ 9.00	\$ 324.00
Independent Claims	3	3	0	\$ 86.00	\$ 43.00	\$ 0.00
First Presentation of Multiple Dependent Claims				\$ 290.00	\$ 145.00	\$ 0.00
BASIC FEE				\$ 770.00	\$ 385.00	\$ 770.00
TOTAL FILING FEE						\$ 1,094.00
Assignment Recordation Fee						\$ 0.00
TOTAL AMOUNT						\$ 1,094.00

4. ☒ Drawings - Total Sheets: 10 (Fig(s). 1-10b)
5. Oath or Declaration - Total Pages: 3
- a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
- b. ☐ Copy from a prior application
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(s):**
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or

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February 5, 2004

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- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☐ Assignee/Applicant: _____

- ☐ Assignment and Assignment Recordation Form Submitted Herewith
- ☐ Assignee of Record in Prior Application No. _____ :

_____ recorded on _____ at Reel _____, Frame _____.
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☒ Priority is Claimed as Follows:
The application is a continuation in part of U.S. Patent Application No. 10/626,973, filed July 25, 2003, which is a continuation of U.S. Patent Application No. 09/602,013, now U.S. Patent No. 6,619,799, filed June 23, 2000 which claims priority to U.S. Provisional Patent Application Nos. 60/142,053, filed July 2, 1999; 60/143,626, filed July 14, 1999; 60/147,813, filed August 10, 1999; 60/150,545, filed August 25, 1999; 60/150,564, filed August 25, 1999; and 60/161,363, filed October 26, 1999.
- ☒ Also claims benefit of the following U.S. Provisional Application No(s):
U.S. Provisional Patent Application No. 60/446,173, filed February 6, 2003.

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- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.
17. ☒ Other: Express Mail Certificate Under 37 C.F.R. § 1.10
18. ☐ Continuation ☐ Divisional ☒ Continuation-in-Part of:
U.S. Patent Application Nos. 10/626,973 filed July 25, 2003
- Prior application information: Examiner _____ Group Art Unit _____
- ☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.
- ☐ Complete Application Based on Provisional Application No(s).:
19. Please address all correspondence to:
- ☒ **CUSTOMER NUMBER 27682**
- J. Michael Martinez de Andino, Esq.
HUNTON & WILLIAMS
Riverfront Plaza, East Tower
951 East Byrd Street
Richmond, VA 23219-4074
Tel: (804) 788-8200
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20. ☐ A check in the amount of \$ _____ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 08-3436**.

MAIL STOP PATENT APPLICATION

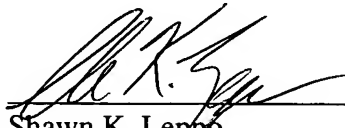
February 5, 2004

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☒ The U.S. Patent and Trademark Office is hereby authorized to charge \$1,094.00 and any additional amount that may be required by the filing of this patent application to **Deposit Account No. 08-3436**.

Respectfully submitted,

By:


Shawn K. Leppo
Registration No. 50,311

Enclosures

EXPRESS MAIL LABEL NO. EU746731779US

Attorney Docket No. 63049.001012

Customer No. 27682

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re US Patent Application of:)	
)	
Ronald D. Blum et al.)	
)	
Application No.: Unassigned)	Group Art Unit: Unassigned
)	
Filing Date: February 5, 2004)	Examiner: Unassigned
)	
Title: METHOD AND APPARATUS)	
FOR CORRECTING VISION)	
USING AN ELECTRO-ACTIVE)	
PHOROPTER)	

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

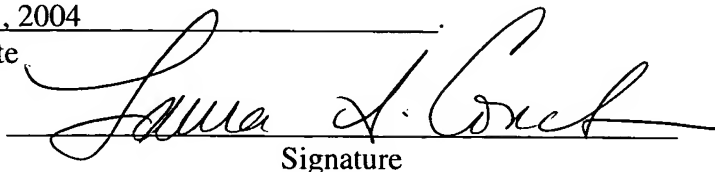
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on February 5, 2004

Date



Signature

Laura A. Couch

Typed or printed name of person signing Certificate

Documents being submitted with this Certificate of Express Mailing include:

- Utility Patent Application Transmittal
- Patent Application including 19 pages of specification, 5 pages of claims, 1 page of abstract and 10 pages of figures
- Executed Declaration and Power of Attorney
- Authorization to charge \$1,094.00 for the patent application fee to Deposit Account No. 08-3436
- Self Addressed Stamped Return Postcard

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